FORM 4

#### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB A | PPR | OVA |
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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address     Iwanowski Ma |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Akerna Corp. [ KERN ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                             |                                       |  |  |  |
|-----------------------------------|---------|----------|--|---|-----------------------------|---------------------------------------|--|--|--|
| <u>Iwanowski Wark</u>             |         |          |  | X   | Director                    | 10% Owner<br>Other (specify<br>below) |  |  |  |
|                                   |         |          |  |   | Officer (give title         |                                       |  |  |  |
| (Last) (First) (Middle)           |         | (Middle) | Date of Earliest Transaction (Month/Day/Year)                            |   | below)                      |                                       |  |  |  |
| 10124 FOXHURS                     | T COURT |          | 10/07/2019   |   |                             |                                       |  |  |  |
|                                   |         |          |  |   |                             |                                       |  |  |  |
| (Street)                          |         |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 | 6. Individual or Joint/Group Filing (Check Applicable Line)             |                             |                                       |  |  |  |
| ORLANDO                           | FL      | 32836    |  | X   | Form filed by One Reporting | Person                                |  |  |  |
|                                   |         |          |  |   | Form filed by More than One | Reporting Person                      |  |  |  |
| (City)                            | (State) | (Zip)    |  |   |                             |                                       |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |   |        | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |       |                                 | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---------------------------------|---|--------|--|-------|---------------------------------|---|---|
|                                 |  | Code                            | v | Amount | (A) or<br>(D)  | Price | Transaction(s) (Instr. 3 and 4) |   | (1130.4)  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) | ction Derivative |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | Reported | Ownership                    | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|--|---|--|---|---------------------------------|------------------|-----|--|---------------------|--|--|---|----------|------------------------------|--|--|
|  |   |  |   | Code                            | v                | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date   | Title                                  | Amount<br>or<br>Number<br>of Shares                 |          | Transaction(s)<br>(Instr. 4) |  |  |
| Restricted Stock<br>Units                        | (1)   | 10/07/2019                                 |   | A                               |                  | 490 |  | (2)                 | (2)  | Common<br>Stock, par<br>value \$0.0001 | 490   | (2)      | 490                          | D  |  |

## Explanation of Responses:

- 1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock of Akerna Corp. (the "Company"). The Company may also elect to settle the vested RSUs in cash or stock, in the Company's its reals a fine-grain of the company of the compa
- 2. Mr. Iwanowski was granted 490 RSUs under the Akerna Corp. 2019 Long Term Incentive Plan (the "Plan"). The RSUs will vest as follows: 123 of the RSUs vested immediately on October 7, 2019; 123 shall vest on December 31, 2019; 122 shall vest on March 31, 2020; and 122 shall vest on June 30, 2020; provided that the RSUs shall vest immediately upon a Change in Control. Subject to Mr. Iwanowski's continued employment with the Company, the RSUs shall be settled on the final vesting date. All capitalized but undefined terms have the meanings ascribed thereto by the Plan.

/s/ Mark Iwanowski

10/09/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.